



03 CO #6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Patterson, et al.

Serial No.: 10/035,370

Filed:

For: *System and Method for Wireless Data  
Exchange Between an Appliance and  
A Handheld Device*

Group Art Unit:  
Examiner:

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**INFORMATION DISCLOSURE STATEMENT**

**PURSUANT TO 37 C.F.R. § 1.97 and § 1.98**

Assistant Commissioner of Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

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Ann I. Dennen

(type or print name of person certifying)

Sir:

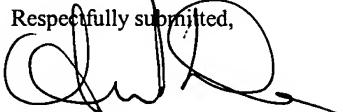
This information disclosure statement is being filed before the mailing date of a first Office action on the merits (37 C.F.R. § 1.97(b)).

Listed on the accompanying forms PTO/SB/08A are a number of documents which may be considered material to the examination of this application. A copy of each of these documents is provided.

The filing of this information disclosure statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, Applicant(s) reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that a search has been made, or that there does not exist information more material to the examination of the present application. The Examiner is specifically requested not to rely solely on the materials submitted herewith. Instead the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of enclosed PTO/SB/08A, and to similarly indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,  
  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/035,370
Filing Date	October 23, 2001
First Named Inventor	Wade C. Patterson
Group Art Unit	
Examiner Name	
Attorney Docket Number	00-0895.26

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
1	390,960			Gustin	10/09/1888	
2	1,940,090			Hetherington	12/19/1933	
3	2,539,598			Suska	01/30/1951	
4	4,145,769			MacFarlane et al.	03/27/1979	
5	4,420,811			Tarnay et al.	12/13/1983	
6	4,563,780			Pollack	01/14/1986	
7	4,682,728			Oudenhoven et al.	07/28/1987	
8	4,700,884			Barrett et al.	10/20/1987	
9	4,854,499			Neuman	08/08/1989	
10	4,923,116			Homan	05/08/1990	
11	Re. 35,018			Homan	08/15/1995	
12	4,974,636			Cogger	12/04/1990	
13	5,062,453			Saadi et al.	11/05/1991	
14	5,170,514			Weigert	12/15/1992	
15	5,217,035			Van Marcke	06/08/1993	
16	5,243,717			Yasuo	09/14/1993	
17	5,328,597			Boldt, Jr. et al.	07/12/1994	
18	5,566,702			Philipp	10/22/1996	
19	5,577,706			King	11/26/1996	
20	5,655,561			Wendel et al.	08/12/1997	

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

<b>Application Number</b>	
<b>Filing Date</b>	
<b>First Named Inventor</b>	
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

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